JC03 Rec'd PCT/PT0 1 3 MAY 2009 PTO-1390 (Rev. 02-2005)
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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 16973-2

US APRICATION NO. A TONTO, SE ST CFR 1.5)

| CONCE   | RNING A SUBMISSIC   | 10/2222   |   |  |  |  |  |  |  |
|---|---|---|---|--|--|--|--|--|--|
| INTERNATIONA<br>PCT/AU20  | APPLICATION NO.<br>03/001482  | INTERNATIONAL FILING DATE 7 November 2003       | PRIORITY DATE CLAIMED  14 November 2002 |  |  |  |  |  |  |
| TITLE OF INVENTION INTRAMURAL NEEDLE-TIPPED SURGICAL DEVICE   |   |   |   |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  Aravinda THAGALINGAM; Pramesh KOVOOR; David Leslie ROSS  |   |   |   |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |   |  |  |  |  |  |  |
|   |   | oncerning a submission under 35 U.S.C. 37       |   |  |  |  |  |  |  |
| 2. This is  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |  |
|   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |
| 4. The US   | has been elected (Article 31).  |   |   |  |  |  |  |  |  |
| 5. 🗹 A co   | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |   |  |  |  |  |  |  |
| a <sub>,</sub> . [  | a. is attached hereto (required only if not communicated by the International Bureau).  |   |   |  |  |  |  |  |  |
| b. [  | b. has been communicated by the International Bureau.   |   |   |  |  |  |  |  |  |
| c. [  | c. Is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |   |  |  |  |  |  |  |
| 6.  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |  |  |  |  |  |  |
| a.  | is attached hereto.   |   |   |  |  |  |  |  |  |
| b. {  | has been previously submi   | itted under 35 U.S.C. 154(d)(4).                |   |  |  |  |  |  |  |
| 7. Ame  | ndments to the claims of the Inte   | ernational Application under PCT Article 19     | (35 U.S.C. 371(c)(3))                   |  |  |  |  |  |  |
| а.  | are attached hereto (requi  | ired only if not communicated by the Interna    | tional Bureau).                         |  |  |  |  |  |  |
| b.  | have been communicated  | by the International Bureau.                    |   |  |  |  |  |  |  |
| c.  | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |   |  |  |  |  |  |  |
| d.  | have not been made and  | will not be made.                               |   |  |  |  |  |  |  |
| 8.  | nglish language translation of the  | he amendments to the claims under PCT Ar        | ticle 19 (35 U.S.C. 371(c)(3)).         |  |  |  |  |  |  |
| 9.  | ath or declaration of the inventor  | r(s) (35 U.S.C. 371(c)(4)).                     |   |  |  |  |  |  |  |
|   | nglish language translation of the 36 (35 U.S.C. 371(c)(5)).  | ne annexes of the International Preliminary E   | Examination Report under PCT            |  |  |  |  |  |  |
| Items 11 to   | 20 below concern document(s   | s) or information included:                     |   |  |  |  |  |  |  |
| 11. 🗹 An ir   | formation Disclosure Statement  | t under 37 CFR 1.97 and 1.98.                   |   |  |  |  |  |  |  |
| 12. An a  | signment document for recording   | ng. A separate cover sheet in compliance w      | ith 37 CFR 3.28 and 3.31 is included.   |  |  |  |  |  |  |
| 13. 🔽 A pre   | liminary amendment.   |   |   |  |  |  |  |  |  |
| 14. An A  | pplication Data Sheet under 37  | CFR 1.76.                                       |   |  |  |  |  |  |  |
| 15. A sul   | estitute specification.   |   |   |  |  |  |  |  |  |
| 16. A po  | A power of attorney and/or change of address letter.  |   |   |  |  |  |  |  |  |
| 17. A con   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2, and 37 CFR 1.821- 1.825.  |   |   |  |  |  |  |  |  |
| 18. 🗹 A see   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |   |  |  |  |  |  |  |
| 19. A see   | ond copy of the English langua  | ge translation of the international application | n under 35 U.S.C. 154(d)(4).            |  |  |  |  |  |  |
| 20. Other   | items or information: Interna   | tional Search Report; International Pre         | eliminary Examination Report            |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC14 Rec'd PCT/PTO 13 MAY 2005

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.  |   |   |  | ATTORNEY'S DOCKET NUMBER |                                  |          |  |  |  |  |
|--|---|---|--|--------------------------|----------------------------------|----------|--|--|--|--|
|  | 10/535527 PCT/AU2003/001482   |   | 1482   | 16973-2                  |                                  |          |  |  |  |  |
| The foll   | owing fees have t   | CALCULATIONS                            | PTO USE ONLY                                     |                          |                                  |          |  |  |  |  |
| 21. 🔽 Basi   | ic national fee   | \$300                                   | \$ 300   |                          |                                  |          |  |  |  |  |
| If International p<br>PCT Article 3  | nination fee<br>reliminary examin<br>3(1)-(4)                                 | \$ 200                                  |  |                          |                                  |          |  |  |  |  |
|  | · · · - <u></u>   |   |  |                          |                                  |          |  |  |  |  |
| Search fee (37 C<br>International Sea  | rch fee<br>CFR 1.445(a)(2)) h<br>onal Searching Au<br>arch Report prepa<br>ns | \$ 400                                  |  |                          |                                  |          |  |  |  |  |
|  | TOTAL OF 21, 2  | \$ 900                                  |  |                          |                                  |          |  |  |  |  |
| sequence li  | e for specification sting or computer 250 for each addi                       |   |  |                          |                                  |          |  |  |  |  |
| Total Sheets   | Extra Sheets  | Number of each                          | additional 50 or fraction  up to a whole number) | RATE                     |                                  |          |  |  |  |  |
| 52 - 100 =   | 0 /50 =   |   | 0  | x \$250                  | \$ 0                             |          |  |  |  |  |
| Surcharge of \$13  | 30.00 for furnishin date (37 CFR 1.49   | \$ <sub>0</sub>                         |  |                          |                                  |          |  |  |  |  |
| CLAIMS   | NUME  | BER FILED                               | NUMBER EXTRA                                     | RATE                     | \$                               |          |  |  |  |  |
| Total claims   | 89  | - 20 =                                  | 69   | x \$ 50                  | \$ 3,450                         |          |  |  |  |  |
| Independent clai   | ms 6  | - 3 =                                   | 3  | × \$200                  | \$ 600                           | <u> </u> |  |  |  |  |
| ·  | MULTIPLE DEPENDENT CLAIM(S  |   |  | + \$360                  | \$ 360                           |          |  |  |  |  |
|  | ······································  | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | TOTAL OF ABOV                                    | E CACULATIONS =          | \$ 5,310                         |          |  |  |  |  |
| Applicant cla  | aims small entity s   |   |  |                          |                                  |          |  |  |  |  |
|  |   | \$ 5,310                                |  |                          |                                  |          |  |  |  |  |
| _  | of <b>\$130.00</b> for furnitate (37 CFR 1.49                                 | \$                                      |  |                          |                                  |          |  |  |  |  |
| ,  |   | \$ 5,310                                |  |                          |                                  |          |  |  |  |  |
| 1  | g the enclosed ass<br>e cover sheet (37                                       | \$                                      |  |                          |                                  |          |  |  |  |  |
|  |   | \$ 575                                  |  |                          |                                  |          |  |  |  |  |
|  |   | Amount to be refunded:                  | \$   |                          |                                  |          |  |  |  |  |
|  | · <del></del>   |   | ·—···  |                          | Amount to be charged:            | \$       |  |  |  |  |
| a. A chec  | a. A check in the amount of \$ to cover the above fees is enclosed.           |   |  |                          |                                  |          |  |  |  |  |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.   |   |   |  |                          |                                  |          |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t  Account No A duplicate copy of this sheet is enclosed.   |   |   |  |                          |                                  |          |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not  |   |   |  |                          |                                  |          |  |  |  |  |
| be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. |   |   |  |                          |                                  |          |  |  |  |  |
|  |   |   |  |                          |                                  |          |  |  |  |  |
| Clifford W.  |   | eaul                                    | 7  |                          |                                  |          |  |  |  |  |
| Woodard Emhardt et al. LLP   |   |   |  |                          |                                  | /        |  |  |  |  |
| Bank One Co  |   | rowning                                 |  |                          |                                  |          |  |  |  |  |
|  | ent Circle, Suite<br>, IN 46204-513   |   |  |                          |                                  |          |  |  |  |  |
|  | ,   | ON NUMBER                               |  |                          |                                  |          |  |  |  |  |
| I D  |   |   |  |                          | #17 17 <b>@</b> 171 <b>D</b> E17 |          |  |  |  |  |